

## THE ACHROMATIC NUMBER OF $K_6 \square K_7$ IS 18

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**Abstract.** A vertex colouring  $f : V(G) \rightarrow C$  of a graph  $G$  is complete if for any two distinct colours  $c_1, c_2 \in C$  there is an edge  $\{v_1, v_2\} \in E(G)$  such that  $f(v_i) = c_i$ ,  $i = 1, 2$ . The achromatic number of  $G$  is the maximum number  $\text{achr}(G)$  of colours in a proper complete vertex colouring of  $G$ . In the paper it is proved that  $\text{achr}(K_6 \square K_7) = 18$ . This result finalises the determination of  $\text{achr}(K_6 \square K_q)$ .

**Keywords:** complete vertex colouring, achromatic number, Cartesian product.

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### 1. INTRODUCTION

Consider a finite simple graph  $G$  and a finite colour set  $C$ . A vertex colouring  $f : V(G) \rightarrow C$  is *complete* if for any two distinct colours  $c_1, c_2 \in C$  one can find an edge  $\{v_1, v_2\} \in E(G)$  ( $\{v_1, v_2\}$  is usually shortened to  $v_1v_2$ ) such that  $f(v_i) = c_i$ ,  $i = 1, 2$ . The *achromatic number* of  $G$ , in symbols  $\text{achr}(G)$ , is the maximum cardinality of  $C$  admitting a proper complete vertex colouring of  $G$ . The invariant was introduced by Harary, Hedetniemi, and Prins in [4], where the following interpolation theorem was proved.

**Theorem 1.1.** *If  $G$  is a graph, and  $\chi(G) \leq k \leq \text{achr}(G)$  for an integer  $k$ , then there exists a  $k$ -element colour set  $C$  and a proper complete vertex colouring  $f : V(G) \rightarrow C$ .*

Let  $G \square H$  denote the Cartesian product of graphs  $G$  and  $H$  (the notation follows the monograph [10] by Imrich and Klavžar). So,  $V(K_p \square K_q) = V(K_p) \times V(K_q)$ , and  $E(K_p \square K_q)$  consists of all edges  $(x, y_1)(x, y_2)$  with  $y_1 \neq y_2$  and all edges  $(x_1, y)(x_2, y)$  with  $x_1 \neq x_2$ . The problem of determining  $\text{achr}(K_p \square K_q)$  is motivated by the fact that, according to Chiang and Fu [2],

$$\text{achr}(G \square H) \geq \text{achr}(K_p \square K_q)$$

for arbitrary graphs  $G, H$  with  $\text{achr}(G) = p$  and  $\text{achr}(H) = q$ . The graph  $K_q \square K_p$  is isomorphic to the graph  $K_p \square K_q$ , hence

$$\text{achr}(K_q \square K_p) = \text{achr}(K_p \square K_q),$$

and so it is natural to suppose  $p \leq q$ . The case  $p \in \{2, 3, 4\}$  was solved by Horňák and Puntigán in [9], and that of  $p = 5$  by Horňák and Pčola in [7, 8].

**Proposition 1.2** ([1]).  $\text{achr}(K_6 \square K_6) = 18$ .

More generally, in [3] Chiang and Fu proved that if  $r$  is an odd projective plane order, then

$$\text{achr}(K_{(r^2+r)/2} \square K_{(r^2+r)/2}) = (r^3 + r^2)/2.$$

The aim of the present paper is to finalise the determination of  $\text{achr}(K_6 \square K_q)$  (for  $q \geq 8$  see Horňák [5, 6]) by proving

**Theorem 1.3.**  $\text{achr}(K_6 \square K_7) = 18$ .

To formulate the complete result describing the achromatic number of  $K_6 \square K_q$  we use the sets  $J_a$ ,  $a \in [3, 6]$ , where

$$\begin{aligned} J_3 &= [2, 3] \cup \{q \in [41, \infty) : q \equiv 1 \pmod{2}\}, \\ J_4 &= \{1, 4, 7\} \cup [16, 40] \cup \{q \in [42, \infty) : q \equiv 0 \pmod{2}\}, \\ J_5 &= \{5, 8\}, \\ J_6 &= \{6\} \cup [9, 15]. \end{aligned}$$

Note that  $J_3 \cup J_4 \cup J_5 \cup J_6 = [1, \infty)$ .

**Theorem 1.4.** If  $a \in [3, 6]$  and  $q \in J_a$ , then  $\text{achr}(K_6 \square K_q) = 2q + a$ .

## 2. NOTATION AND BASIC FACTS

For  $k, l \in \mathbb{Z}$  we denote *integer intervals* by

$$[k, l] = \{z \in \mathbb{Z} : k \leq z \leq l\}, \quad [k, \infty) = \{z \in \mathbb{Z} : k \leq z\}.$$

Further, for a set  $A$  and  $m \in [0, \infty)$  let  $\binom{A}{m}$  be the set of  $m$ -element subsets of  $A$ .

Under the assumption that  $V(K_r) = [1, r]$  for  $r \in [1, \infty)$ , a vertex colouring  $f : V(K_p \square K_q) \rightarrow C$  can be conveniently described using the  $p \times q$  matrix  $M = M(f)$ , in which the entry in the  $i$ th row and the  $j$ th column is  $(M)_{i,j} = f(i, j)$ .

If  $f$  is proper, then each line (row or column) of  $M$  consists of pairwise distinct entries.

If  $f$  is complete, then each pair  $\{\gamma_1, \gamma_2\} \in \binom{C}{2}$  (of colours in  $C$ ) is *good* in  $M$ , which means that at least one of the next two conditions is fulfilled:

- (i) the pair  $\{\gamma_1, \gamma_2\}$  is *row-based* (in  $M$ ), i.e., there are  $i \in [1, p]$  and  $j_1, j_2 \in [1, q]$  such that  $\{\gamma_1, \gamma_2\} = \{(M)_{i,j_1}, (M)_{i,j_2}\}$ ,
- (ii) the pair  $\{\gamma_1, \gamma_2\}$  is *column-based* (in  $M$ ), i.e., there are  $i_1, i_2 \in [1, p]$  and  $j \in [1, q]$  such that  $\{\gamma_1, \gamma_2\} = \{(M)_{i_1,j}, (M)_{i_2,j}\}$ .

The following is a natural necessary condition for the completeness of  $f$ : Given  $\gamma \in C$  and  $C' \subseteq C \setminus \{\gamma\}$ , the number  $g(\gamma, C')$  of pairs  $\{\gamma, \gamma'\}$  with  $\gamma' \in C' \setminus \{\gamma\}$  that are good in  $M$ , is at least  $|C'| - 1$ . Note that

$$g(\gamma, C') \leq \sum_{(i,j):(M)_{i,j}=\gamma} g(i, j, C'),$$

where  $g(i, j, C')$  is the number of those pairs  $\{\gamma, \gamma'\}$ ,  $\gamma' \in C' \setminus \{\gamma\}$ , that are good in  $M$  due to the copy  $(M)_{i,j}$  of the colour  $\gamma$ .

Let  $\mathcal{M}(p, q, C)$  be the set of  $p \times q$  matrices  $M$  with entries from  $C$  such that entries of any line of  $M$  are pairwise distinct, and all pairs in  $\binom{C}{2}$  are good in  $M$ . Thus if  $f : [1, p] \times [1, q] \rightarrow C$  is a proper complete vertex colouring of  $K_p \square K_q$ , then  $M(f) \in \mathcal{M}(p, q, C)$ .

Conversely, if  $M \in \mathcal{M}(p, q, C)$ , it is immediate to see that the mapping  $f_M : [1, p] \times [1, q] \rightarrow C$  determined by  $f_M(i, j) = (M)_{i,j}$  is a proper complete vertex colouring of  $K_p \square K_q$ .

So, we have just proved

**Proposition 2.1.** *If  $p, q \in [1, \infty)$  and  $C$  is a finite set, then the following statements are equivalent:*

- (1) *there is a proper complete vertex colouring of  $K_p \square K_q$  using as colours elements of  $C$ ,*
- (2)  $\mathcal{M}(p, q, C) \neq \emptyset$ .

The following straightforward proposition comes from [5].

**Proposition 2.2.** *If  $p, q \in [1, \infty)$ ,  $C, D$  are finite sets,  $M \in \mathcal{M}(p, q, C)$ , mappings  $\rho : [1, p] \rightarrow [1, p]$ ,  $\sigma : [1, q] \rightarrow [1, q]$ ,  $\pi : C \rightarrow D$  are bijections, and  $M_{\rho, \sigma}$ ,  $M_\pi$  are  $p \times q$  matrices defined by  $(M_{\rho, \sigma})_{i,j} = (M)_{\rho(i), \sigma(j)}$  and  $(M_\pi)_{i,j} = \pi((M)_{i,j})$ , then  $M_{\rho, \sigma} \in \mathcal{M}(p, q, C)$  and  $M_\pi \in \mathcal{M}(p, q, D)$ .  $\square$*

Let  $M \in \mathcal{M}(p, q, C)$  and let  $\gamma \in C$ . For a colour  $\gamma \in C$  and the colouring  $f_M$  from the proof of Proposition 2.1 denote  $V_\gamma = f_M^{-1}(\gamma) \subseteq [1, p] \times [1, q]$ , and let  $N(V_\gamma)$  be the neighbourhood of  $V_\gamma$  (the union of neighbourhoods of vertices in  $V_\gamma$ ). The *excess* of  $\gamma$  is defined to be the maximum number  $\text{exc}(\gamma)$  of vertices in a set  $S \subseteq N(V_\gamma)$  such that the partial vertex colouring of  $K_6 \square K_7$ , obtained by removing colours of  $S$ , is still complete concerning the colour class  $\gamma$ .

The *frequency* of the colour  $\gamma$  is the number of entries of  $M$  equal to  $\gamma$ . An  $l$ -colour ( $l$ +colour) is a colour of frequency  $l$  (at least  $l$ ), and  $C_l$  ( $C_{l+}$ ) is the set of  $l$ -colours ( $l$ +colours). Further, for  $k \in \{l, l+\}$  let  $c_k = |C_k|$ ,

$$\begin{aligned} \mathbb{R}(i) &= \{(M)_{i,j} : j \in [1, q]\}, \quad \mathbb{R}_k(i) = C_k \cap \mathbb{R}(i), \quad r_k(i) = |\mathbb{R}_k(i)|, \quad i \in [1, p], \\ \mathbb{C}(j) &= \{(M)_{i,j} : i \in [1, p]\}, \quad \mathbb{C}_k(j) = C_k \cap \mathbb{C}(j), \quad c_k(j) = |\mathbb{C}_k(j)|, \quad j \in [1, q]. \end{aligned}$$

Finally, denote

$$\begin{aligned} \mathbb{R}_2(i_1, i_2) &= C_2 \cap \mathbb{R}(i_1) \cap \mathbb{R}(i_2), \quad r_2(i_1, i_2) = |\mathbb{R}_2(i_1, i_2)|, \quad i_1, i_2 \in [1, p], i_1 \neq i_2, \\ \mathbb{C}_2(j_1, j_2) &= C_2 \cap \mathbb{C}(j_1) \cap \mathbb{C}(j_2), \quad c_2(j_1, j_2) = |\mathbb{C}_2(j_1, j_2)|, \quad j_1, j_2 \in [1, q], j_1 \neq j_2. \end{aligned}$$

Considering a nonempty set  $S \subseteq [1, p] \times [1, q]$  we say that a colour  $\gamma \in C$  occupies a position in  $S$  (appears in  $S$ , has a copy in  $S$  or simply is in  $S$ ) if there is  $(i, j) \in S$  such that  $(M)_{i,j} = \gamma$ .

### 3. PROPERTIES OF A COUNTEREXAMPLE TO THEOREM 1.3

We prove Theorem 1.3 by the way of contradiction. It is well known that

$$\text{achr}(G) \geq \text{achr}(H)$$

if  $H$  is an induced subgraph of a graph  $G$ . So, by Proposition 1.2,

$$\text{achr}(K_6 \square K_7) \geq \text{achr}(K_6 \square K_6) = 18.$$

Provided that Theorem 1.3 is false, by Theorem 1.1 and Proposition 2.1 there is a set  $C$  with  $|C| = 19$  and a matrix  $M \in \mathcal{M}(6, 7, C)$ ; henceforth the whole notation corresponds to this (hypothetical) matrix  $M$ .

**Claim 3.1.** *If  $\gamma \in C_l$ , then  $\text{exc}(\gamma) = -l^2 + 12l - 18$ .*

*Proof.* The vertex colouring  $f_M$  of  $K_6 \square K_7$  is proper, hence

$$|N(V_\gamma)| = 7l + l(6 - l) - l = l(12 - l).$$

Further,  $f_M$  is complete, and so each colour of  $C \setminus \{\gamma\}$  appears on a vertex belonging to  $N(V_\gamma)$ . Therefore,

$$\text{exc}(\gamma) = l(12 - l) - (19 - 1) = -l^2 + 12l - 18. \quad \square$$

**Claim 3.2.** *The following statements are true:*

1.  $c_1 = 0$ ,
2. if  $l \in [7, \infty)$ , then  $c_l = 0$ ,
3.  $c_2 \in [15, 18]$ ,
4.  $c_{3+} \in [1, 4]$ ,
5.  $\Sigma = \sum_{i=3}^6 ic_i \in [6, 12]$ ,
6.  $c_{4+} \leq c_2 - 15$ ,
7. if  $c_{4+} = 0$ , then  $c_{3+} = c_3 = 4$ ,
8. if  $c_{4+} \geq 1$ , then  $c_{3+} \leq 3$ ,
9.  $c_{3+} + c_{4+} \leq 4$ ,
10. if  $c_{5+} \geq 1$ , then  $c_{3+} + c_{4+} \leq 3$ .

*Proof.* 1. If  $\gamma \in C_1$ , then, by Claim 3.1,  $\text{exc}(\gamma) = -7 < 0$ , a contradiction.

2. If  $\gamma \in C_l$  for some  $l \in [7, \infty)$ , then by the pigeonhole principle the colouring  $f_M$  is not proper, a contradiction.

3. By Claims 3.2.1, 3.2.2 we have

$$c_2 + c_{3+} = \sum_{i=2}^6 c_i = |C| = 19$$

and

$$\sum_{i=2}^6 ic_i = |V(K_6 \square K_7)| = 42,$$

hence

$$2c_2 + 6(19 - c_2) = 2c_2 + 6c_{3+} \geq \sum_{i=2}^6 ic_i \geq 2c_2 + 3c_{3+} = 2c_2 + 3(19 - c_2),$$

which yields

$$114 - 4c_2 \geq 42 \geq 57 - c_2 \quad \text{and} \quad 15 \leq c_2 \leq 18.$$

4. A consequence of Claim 3.2.3.

5. The assertion of Claim 3.2.3 leads to

$$\sum_{i=3}^6 ic_i = \sum_{i=2}^6 ic_i - 2c_2 = 42 - 2c_2 \in [6, 12].$$

6. We have

$$3 \cdot 19 - c_2 + c_{4+} = 3(c_2 + c_3 + c_{4+}) - c_2 + c_{4+} \leq \sum_{i=2}^6 ic_i = 42$$

and

$$c_{4+} \leq c_2 - 15.$$

7. If  $c_{4+} = 0$ , then

$$c_2 + c_3 = 19, \quad 2c_2 + 3c_3 = 42 \quad \text{and} \quad c_{3+} = c_3 = 42 - 2 \cdot 19 = 4.$$

8. The assumption  $c_{4+} \geq 1$  and  $c_{3+} = 4$  would mean

$$\Sigma \geq 3 \cdot 3 + 4 = 13 > 12,$$

which contradicts Claim 3.2.5.

9. If  $c_{4+} = 0$ , then  $c_{3+} + c_{4+} = c_{3+} \leq 4$ . With  $c_{4+} = 1$  we have, by Claim 3.2.6,

$$\begin{aligned} 1 = c_{4+} &\leq c_2 - 15, & c_2 &\geq 16, & c_3 &= 19 - c_2 - c_{4+} \leq 2, \\ c_{3+} &\leq 3 & \text{and} & & c_{3+} + c_{4+} &\leq 4. \end{aligned}$$

Finally, from  $c_{4+} \geq 2$  it follows that

$$\begin{aligned} 2 &\leq c_{4+} \leq c_2 - 15, & c_2 &\geq 17, \\ 19 &= c_2 + c_3 + c_{4+} \geq 17 + c_3 + 2 = 19 + c_3 \geq 19, \\ c_2 &= 17, & c_3 &= 0, & c_{4+} &= 2 = c_{3+} \quad \text{and} \quad c_{3+} + c_{4+} = 4. \end{aligned}$$

10. We have

$$2(19 - c_{5+}) + 5c_{5+} = 2(c_2 + c_3 + c_4) + 5(c_5 + c_6) \leq \sum_{i=2}^6 ic_i = 42,$$

$$3c_{5+} \leq 4 \quad \text{and} \quad c_3 + 2c_4 = 42 - 2(19 - c_5 - c_6) - 5c_5 - 6c_6 \leq 4 - 3c_{5+},$$

hence  $c_{5+} \geq 1$  yields

$$c_{5+} = 1, \quad c_3 + 2c_4 \leq 1, \quad c_{4+} = c_{5+} = 1,$$

$$c_{3+} = c_3 + c_{4+} = c_3 + 1 \quad \text{and} \quad c_{3+} + c_{4+} = c_3 + 1 + 1 \leq 3. \quad \square$$

A set  $D \subseteq C_2$  is of the type  $(m_1^{a_1} \dots m_k^{a_k}, n_1^{b_1} \dots n_l^{b_l})$  if both  $(m_1, \dots, m_k)$ ,  $(n_1, \dots, n_l)$  are decreasing sequences of integers from the interval  $[1, |D|]$ , the number of rows of  $M$  containing  $m_i$  colours from  $D$  is  $a_i \geq 1$  for each  $i \in [1, k]$ , the number of columns of  $M$  containing  $n_i$  colours from  $D$  is  $b_i \geq 1$  for each  $i \in [1, l]$ , and

$$\sum_{i=1}^k m_i a_i = 2|D| = \sum_{i=1}^l n_i b_i.$$

Forthcoming Claims 3.3, 3.4, 3.6, 3.7, and 3.9 state that certain types of 2- and 3-element subsets of  $C_2$  are impossible in a matrix  $M$  contradicting Theorem 1.3. The mentioned claims are proved by contradiction. When proving that  $M$  avoids a type  $T$ , we suppose that there is  $D \subseteq C_2$ , which is of the type  $T$  (without explicitly mentioning it), and we reach a contradiction with some of the properties following from the fact that  $M \in \mathcal{M}(6, 7, C)$ .

**Claim 3.3.** *No set  $\{\alpha, \beta\} \subseteq C_2$  is of the type  $(1^4, 2^2)$ .*

*Proof.* Since we have at our disposal Proposition 2.2, we may suppose without loss of generality that  $(M)_{1,1} = \alpha = (M)_{3,2}$  and  $(M)_{2,1} = \beta = (M)_{4,2}$ . We use (w) to express briefly that it is Proposition 2.2, which enables us to simplify our reasoning by restricting our attention to matrices with a special structure. With  $A = \mathbb{C}(1) \cup \mathbb{C}(2)$  we have  $|A| \leq 10$ . If  $\gamma \in C \setminus A$ , then the fact that both pairs  $\{\gamma, \alpha\}$  and  $\{\gamma, \beta\}$  are good forces  $\gamma$  to occupy a position in  $S_\alpha = \{1, 3\} \times [3, 7]$  and in  $S_\beta = \{2, 4\} \times [3, 7]$  as well. So,

$$|C \setminus A| \leq 10 \quad \text{and} \quad |C \setminus A| = |C| - |A| \geq 9.$$

By Claim 3.2.4,

$$|(C \setminus A) \cap C_2| = |C \setminus A| - |(C \setminus A) \cap C_{3+}| \geq 9 - c_{3+} \geq 5,$$

hence there is  $\delta \in (C \setminus A) \cap C_2$ . Now, as  $\delta$  is in both  $S_\alpha$  and  $S_\beta$ , there is  $(i, k) \in \{1, 3\} \times \{2, 4\}$  such that  $\delta \in \mathbb{R}_2(i, k)$ . If  $(i, k) = (1, 2)$ , then (w)  $(M)_{1,3} = \delta = (M)_{2,4}$  (see Figure 1).

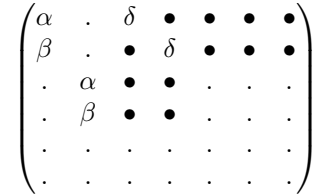


Fig. 1.

If  $|C \setminus A| = 10$ , then all ten positions in both  $S_\alpha, S_\beta$  are occupied by colours of  $C \setminus A$ , and all twelve bullet positions in Figure 1 are occupied by colours of  $(C \setminus A) \setminus \{\delta\}$ , which means that

$$\text{exc}(\delta) \geq 12 - |(C \setminus A) \setminus \{\delta\}| = 12 - (10 - 1) = 3$$

in contradiction to Claim 3.1.

If  $|C \setminus A| = 9$ , then  $\mathbb{C}(1) \cap \mathbb{C}(2) = \{\alpha, \beta\}$ . Let  $B$  be the set of four colours occupying a position in  $[5, 6] \times [1, 2]$ . Using  $\text{exc}(\alpha) = \text{exc}(\beta) = 2$  we see that at most two positions in  $[1, 4] \times [3, 7]$  are occupied by a colour of  $B$ . Thus, if  $\varepsilon \in B$  is not in  $[1, 4] \times [3, 7]$  (and there are at least two possibilities for such  $\varepsilon$ ), then it must be in  $[5, 6] \times [3, 7]$ , and so  $\varepsilon \in C_2$  (the colouring  $f_M$  is proper). Then, however, the number of pairs  $\{\zeta, \varepsilon\}$  with  $\zeta \in (C \setminus A) \cap C_2$  that are good is at most four ( $\zeta$  must share the column with the copy of  $\varepsilon$  appearing in  $[5, 6] \times [3, 7]$ ), while

$$|(C \setminus A) \cap C_2| \geq |C \setminus A| - |C_{3+}| \geq 9 - 4 = 5,$$

a contradiction.

Provided that  $(i, k) \neq (1, 2)$ , a contradiction can be reached in a similar manner.  $\square$

**Claim 3.4.** *No set  $\{\alpha, \beta\} \subseteq C_2$  is of the type  $(2^1 1^2, 2^2)$ .*

*Proof.* Now (w)  $(M)_{1,1} = \alpha = (M)_{2,2}$  and  $(M)_{2,1} = \beta = (M)_{3,2}$ . With  $A = \mathbb{C}(1) \cup \mathbb{C}(2) \cup \mathbb{R}(2)$  each colour  $\gamma \in C \setminus A$  has a copy in  $\{i\} \times [3, 7]$ ,  $i = 1, 3$  ( $\{\gamma, \alpha\}$  and  $\{\gamma, \beta\}$  are good). From  $|A| \leq 15$  it follows that

$$|C \setminus A| \geq 19 - 15 = 4,$$

and then  $C \setminus A \subseteq C_{3+}$ : indeed, if  $\delta \in (C \setminus A) \cap C_2$ , then

$$\text{exc}(\delta) \geq |(C \setminus A) \setminus \{\delta\}| \geq 3,$$

a contradiction. Thus  $C_2 \subseteq A$ ,  $c_2 \leq 15$ , hence, by Claims 3.2.3, 3.2.4,  $c_2 = 15$ ,  $c_3 = c_{3+} = 4$ ,  $C \setminus A = C_{3+} = C_3$ , each colour of  $C_2 \setminus \{\alpha, \beta\}$  has exactly one copy in  $([1, 6] \times [1, 2]) \cup (\{2\} \times [3, 7])$ , and (w)  $\varepsilon = (M)_{1,2}$ ,  $\zeta = (M)_{3,1}$  are (distinct) 2-colours.

First note that  $\varepsilon, \zeta \notin \mathbb{R}_2(1, 3)$ , for otherwise

$$\max(\text{exc}(\varepsilon), \text{exc}(\zeta)) \geq c_3 = 4.$$

So, the second copies of  $\varepsilon, \zeta$  are in  $[4, 6] \times [3, 7]$ , and the pair  $\{\varepsilon, \zeta\}$  is good in the corresponding  $3 \times 5$  submatrix of  $M$ .

If the pair  $\{\varepsilon, \zeta\}$  is column-based, (w)  $\varepsilon = (M)_{4,3}$  and  $\zeta = (M)_{5,3}$ , then, with a (2-) colour  $\eta$  appearing in  $\{2\} \times [4, 7]$ , both pairs  $\{\eta, \varepsilon\}$  and  $\{\eta, \zeta\}$  are good only if  $\eta$  occupies a position in  $\{1, 3, 6\} \times \{3\}$ , a contradiction.

If the pair  $\{\varepsilon, \zeta\}$  is row-based, then (w)  $\varepsilon = (M)_{4,3}$  and  $\zeta = (M)_{4,4}$ ; consider six positions in  $[5, 6] \times [5, 7]$ . Since  $r_3(1) = r_3(3) = 4 = c_3$ , at most four of those positions are occupied by 3-colours and at least two of them by 2-colours. Let  $B$  be the set of 2-colours having a copy in  $([5, 6] \times [5, 7]) \cup (\{2\} \times [5, 7])$ . If  $\vartheta \in B$ , then, having in mind that both pairs  $\{\vartheta, \varepsilon\}$  and  $\{\vartheta, \zeta\}$  are good,  $\vartheta$  must have a copy in  $\{(1, 4), (3, 3), (4, 1), (4, 2)\}$ ; this contradicts the inequality  $|B| \geq 5$ .  $\square$

**Claim 3.5.** *If  $j, l \in [1, 7]$ ,  $j \neq l$ , then  $c_2(j, l) \leq 2$ .*

*Proof.* The assumption  $c_2(j, l) \geq 3$  would contradict Claim 3.3 or Claim 3.4.  $\square$

**Claim 3.6.** *No set  $\{\alpha, \beta\} \subseteq C_2$  is of the type  $(2^2, 1^4)$ .*

*Proof.* Here (w)  $(M)_{1,1} = \alpha = (M)_{2,3}$  and  $(M)_{1,2} = \beta = (M)_{2,4}$ . With  $A = \mathbb{R}(1) \cup \mathbb{R}(2)$  we have  $|A| \leq 12$ , each colour of  $C \setminus A$  is in both sets  $S_\alpha = [3, 6] \times \{1, 3\}$ ,  $S_\beta = [3, 6] \times \{2, 4\}$ , and  $7 \leq |C \setminus A| \leq 8$ . As  $|(C \setminus A) \cap C_2| \geq 3$ , there is  $(j, l) \in \{1, 3\} \times \{2, 4\}$  such that  $\gamma \in (C \setminus A) \cap C_2(j, l)$ .

If  $(j, l) = (1, 2)$ , then (w)  $(M)_{3,1} = \gamma = (M)_{4,2}$  (see Figure 2).

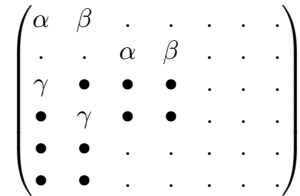


Fig. 2.

If  $|C \setminus A| = 8$ , then all eight positions in both sets  $S_\alpha, S_\beta$  are occupied by colours of  $C \setminus A$ . Further, all ten bullet positions in Figure 2, which are positions of vertices in  $(N(V_\alpha) \cup N(V_\beta)) \cap N(V_\gamma)$ , are occupied by colours of  $(C \setminus A) \setminus \{\gamma\}$ , and so  $\text{exc}(\gamma) \geq 10 - (8 - 1) = 3$ , a contradiction.

Suppose that  $|C \setminus A| = 7$  (and  $|A| = 12$ ). For  $m \in \{2, 3+, 4+\}$  and  $n \in [0, 2]$  let  $C_m^n$  be the set of colours in  $C_m$  having  $n$  copies in  $[5, 6] \times [5, 7]$ , and let  $c_m^n = |C_m^n|$ . If  $\delta \in C_2^1 \cup C_3^2$ , then, since the pairs  $\{\delta, \alpha\}$ ,  $\{\delta, \beta\}$  and  $\{\delta, \gamma\}$  are good,  $\delta$  must appear in  $\{2\} \times [1, 2]$ , and so  $c_2^1 + c_3^2 \leq 2$ ; further,  $c_2^2 = 0$ . Using Claim 3.2.9 we obtain

$$\begin{aligned} 6 &= c_2^1 + c_3^1 + c_{4+}^1 + 2c_3^2 + 2c_{4+}^2 \leq c_2^1 + c_3^2 + \sum_{n=0}^2 (c_3^n + c_{4+}^n) + c_{4+}^2 \\ &\leq c_2^1 + c_3^2 + c_{3+} + c_{4+} \leq 2 + 4 = 6, \end{aligned} \tag{3.1}$$

which implies

$$c_3^0 = c_{4+}^0 = c_{4+}^1 = 0, \tag{3.2}$$

$$c_{4+} = c_{4+}^2, \tag{3.3}$$

$$c_2^1 + c_3^2 = 2, \tag{3.4}$$

$c_{3+} + c_{4+} = 4$ , and so, by Claim 3.2.10,  $c_{5+} = 0$ .

For  $\delta \in \{\alpha, \beta\}$  choose a set  $S_{\delta'} \subseteq S_\delta$  with  $|S_{\delta'}| = 7$  occupied by seven distinct colours of  $C \setminus A$ , and let

$$P = ([3, 6] \times [1, 4]) \setminus (S_{\alpha'} \cup S_{\beta'});$$

then  $|P| = 2$ . Since

$$|N(V_\gamma) \cap ([3, 6] \times [1, 4])| = 10,$$

we have

$$2 = \text{exc}(\gamma) \geq 10 - (|P| + |(C \setminus A) \setminus \{\gamma\}|) = 4 - |P| = 2,$$

hence both positions in  $P$  are necessarily occupied by a colour of  $A$ , and all sets  $S_{\alpha'}, S_{\beta'}, P$  are unique. We express this property of  $\gamma$  by saying that  $\gamma$  is *A-exact*. Besides that, the two positions in  $P$  are occupied by two distinct colours of  $A$ , say  $\lambda$  and  $\mu$ ; indeed, otherwise the colour of  $A$ , which occupies both positions in  $P$ , by (3.3), would be a 5+colour, a contradiction. Let  $P = \{(i_\lambda, j_\lambda), (i_\mu, j_\mu)\}$ , where  $\lambda = (M)_{i_\lambda, j_\lambda}$  and  $\mu = (M)_{i_\mu, j_\mu}$ . The excess of both  $\alpha, \beta$  is 2, therefore  $(j_\lambda, j_\mu) \in \{1, 3\} \times \{2, 4\}$  (a colour occupying a position in  $P$  contributes to the excess of either  $\alpha$  or  $\beta$ , and  $\alpha, \beta$  are contributing to the excess of each other).

The above reasoning concerning  $\gamma$  can be repeated to prove that any colour in  $(C \setminus A) \cap C_2$  is *A-exact*.

Suppose that  $\varepsilon \in (C \setminus A) \cap C_2$ ; then  $\varepsilon$  is *A-exact* and  $\varepsilon \in \mathbb{C}_2(j', l')$ , where  $(j', l') \in \{1, 3\} \times \{2, 4\}$ . Let  $\{l_\lambda, l_\mu\} = [1, 4] \setminus \{j_\lambda, j_\mu\}$ .

Assume first that  $i_\lambda = i_\mu$ . By Claim 3.4,

$$|(C \setminus A) \cap \mathbb{C}_2(j_\lambda, j_\mu)| \leq 2.$$

If  $(j', l') \neq (j_\lambda, j_\mu)$ , then either  $\varepsilon = (M)_{i_\lambda, l_\lambda}$  or  $\varepsilon = (M)_{i_\lambda, l_\mu}$ .

The second possibility is  $i_\lambda \neq i_\mu$ . By Claim 3.4,

$$|(C \setminus A) \cap \mathbb{C}_2(l_\lambda, l_\mu)| \leq 2.$$

On the other hand, if  $(j', l') \neq (l_\lambda, l_\mu)$ , then either  $\varepsilon = (M)_{i_\lambda, j_\mu}$  or  $\varepsilon = (M)_{i_\mu, j_\lambda}$ .

In both cases

$$|(C \setminus A) \cap C_2| \leq 2 + 2 = 4$$

and

$$|(C \setminus A) \cap C_{3+}| \geq 7 - 4 = 3. \tag{3.5}$$

From (3.1) and (3.3) we obtain

$$(C \setminus A) \cap C_{3+} \subseteq C_3^1 \cup C_{4+}^2,$$

hence  $c_3^1 + c_{4+}^2 \geq 3$ . Let us show the following:

$$\text{No } 3\text{-colour occupies a position in } [3, 4] \times [5, 7], \text{ and } c_2^1 \geq 1. \tag{3.6}$$

Because of (3.2) and (3.3) we know that colours of  $(C \setminus A) \cap C_{3+}$  appear only in  $([3, 6] \times [1, 4]) \cup ([5, 6] \times [5, 7])$ . If  $c_{4+} \geq 1$ , then, by Claim 3.2.8,

$$3 \geq c_{3+} \geq c_3^1 + c_{4+}^2 \geq 3, \quad c_{3+} = c_3^1 + c_{4+}^2 = 3, \\ C_{3+} = C_3^1 \cup C_{4+}^2 = (C \setminus A) \cap C_{3+},$$

$c_3^2 = 0, c_2^1 = 2$  (see (3.4)), and so (3.6) is true.

If  $c_{4+} = 0$ , then from (3.1), (3.4) and Claim 3.2.4 it follows that

$$c_3^1 + c_3^2 = 4 = c_{3+}, \quad C_{3+} = C_3^1 \cup C_3^2 = ((C \setminus A) \cap C_{3+}) \cup C_3^2$$

and, by (3.5),  $c_3^1 \geq 3$ ; since a colour of  $C_3^2$  is only in  $(\{2\} \times [1, 2]) \cup ([5, 6] \times [5, 7])$ ,  $c_3^2 \leq 1$  and  $c_2^1 \geq 1$ , (3.6) is true again.

Now, by (3.6), six positions in  $[3, 4] \times [5, 7]$  are occupied by six distinct 2-colours belonging to  $A \setminus \{\lambda, \mu\}$ , and there is a colour  $\zeta \in C_2^1$ , (w)  $\zeta = (M)_{5,5}$ , see Figure 3.

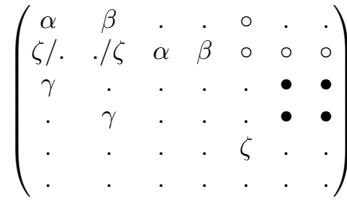


Fig. 3.

If a 2-colour  $\eta$  appears in a bullet position, then, since the pair  $\{\eta, \zeta\}$  is good, the second copy of  $\eta$  must occupy a circle position. In such a case, however, it is easy to check that there is a set  $\{\vartheta, \iota\} \subseteq A \cap C_2$  of 2-colours occupying two bullet positions and two circle positions, which contradicts either Claim 3.3 or Claim 3.4.

The case  $(j, l) \neq (1, 2)$  can be treated similarly. □

**Claim 3.7.** No set  $\{\alpha, \beta\} \subseteq C_2$  is of the type  $(2^2, 2^1 1^2)$ .

*Proof.* Let (w)  $(M)_{1,1} = \alpha = (M)_{2,2}$  and  $(M)_{1,2} = \beta = (M)_{2,3}$ . First of all, we have  $\mathbb{R}_2(1, 2) = \{\alpha, \beta\}$ . Indeed, if (w)  $\gamma \in \mathbb{R}(1, 2) \setminus \{\alpha, \beta\}$ , then, by Claim 3.6, necessarily  $(M)_{1,3} = \gamma = (M)_{2,1}$ . Each colour  $\delta \in C \setminus \mathbb{R}_2(1, 2)$  occupies at least two positions in  $[3, 6] \times [1, 3]$  (all pairs  $\{\delta, \alpha\}, \{\delta, \beta\}, \{\delta, \gamma\}$  are good), hence  $|C| \leq 11 + \lfloor \frac{4 \cdot 3}{2} \rfloor = 17 < 19$ , a contradiction.

With  $A = \mathbb{R}(1) \cup \mathbb{R}(2) \cup \mathbb{C}(2)$  any colour  $\gamma \in C \setminus A$  occupies a position in  $[3, 6] \times \{1\}$  as well as in  $[3, 6] \times \{3\}$ , hence  $|C \setminus A| \leq 4, |A| \leq 16, |C \setminus A| = 19 - |A| \geq 3$  and  $|A| \geq 15$ .

Assume first that  $|A| = 15$  and  $|C \setminus A| = 4$ , which yields  $C \setminus A \subseteq C_{3+}$  (a 2-colour  $\gamma \in C \setminus A$  would satisfy  $\text{exc}(\gamma) \geq 3$ ),  $c_{3+} = c_3 = 4$  and  $A = C_2$ . For colours  $\gamma = (M)_{1,3}$  and  $\delta = (M)_{2,1}$  their second copies appear in

$[3, 6] \times (\{2\} \cup [4, 7])$ , and the pair  $\{\gamma, \delta\}$  is good in the corresponding  $4 \times 5$  submatrix of  $M$ . However, at most one of  $\gamma, \delta$  is in  $[3, 6] \times \{2\}$ , hence  $\{\gamma, \delta\}$  is good in the submatrix of  $M$  corresponding to  $[3, 6] \times [4, 7]$ .

If the pair  $\{\gamma, \delta\}$  is column-based, then (w)  $\gamma = (M)_{3,4}$  and  $\delta = (M)_{4,4}$ , at most one of colours in  $[5, 6] \times \{2\}$  belongs to  $\mathbb{R}(1) \cup \mathbb{R}(2)$ , hence there is a 2-colour  $\varepsilon$  and  $i \in [5, 6]$  such that  $\varepsilon = (M)_{i,2} = (M)_{11-i,4}$  (so that both pairs  $\{\varepsilon, \gamma\}, \{\varepsilon, \delta\}$  are good). For every colour  $\zeta \notin \mathbb{C}(2) \cup \{(M)_{i,4}\}$  occupying a position in  $[1, 2] \times [5, 7]$  (the number of such colours is at least 4) there is  $\eta \in \{\gamma, \delta, \varepsilon\}$  such that the pair  $\{\eta, \zeta\}$  is not good, a contradiction.

If the pair  $\{\gamma, \delta\}$  is row-based, (w)  $\gamma = (M)_{3,4}$  and  $\delta = (M)_{3,5}$ . If a colour  $\varepsilon$  occupies a position in  $[4, 6] \times \{2\}$  and does not belong to  $\mathbb{R}(1) \cup \mathbb{R}(2)$  (there are at least two such colours), then it must appear in  $\{3\} \times [6, 7]$  (pairs  $\{\varepsilon, \gamma\}$  and  $\{\varepsilon, \delta\}$  are good), (w)  $(M)_{4,2} = \varepsilon = (M)_{3,6}$  and  $(M)_{5,2} = \zeta = (M)_{3,7}$ . If a 2-colour  $\eta$  is in  $\{6\} \times [4, 7]$ , then  $\eta = (M)_{3,2}$  (all pairs  $\{\eta, \vartheta\}$  with  $\vartheta \in \{\gamma, \delta, \varepsilon, \zeta\}$  are good),  $r_3(6) \geq 2 + 3 = 5 > c_3$ , and so the colouring  $f_M$  is not proper, a contradiction.

From now on  $|A| = 16$  and  $|C \setminus A| = 3$ . Suppose first that  $C \setminus A \subseteq C_{3+}$ . From  $c_{3+} \leq 4$  we obtain  $|A \cap C_{3+}| \leq 1$ .

If  $(\mathbb{R}(1) \cup \mathbb{R}(2)) \cap C_{3+} = \emptyset$ , then (w)  $\gamma = (M)_{3,2}$ ,  $\delta = (M)_{4,2}$ ,  $\varepsilon = (M)_{5,2}$  are 2-colours, and their second copies appear in  $[3, 6] \times [4, 7]$ . Let

$$J = \{j \in [4, 7] : \mathbb{C}(j) \cap \{\gamma, \delta, \varepsilon\} \neq \emptyset\};$$

by Claim 3.5 we know that  $2 \leq |J| \leq 3$ . If

$$(i, j) \in S = \{(1, 3), (2, 1)\} \cup ([1, 2] \times ([4, 7] \setminus J)),$$

then  $g(i, j, \{\gamma, \delta, \varepsilon\}) = 0$ ; note that  $|S| = 10 - 2|J|$ . On the other hand, the number of pairs  $(i, j) \in [3, 6] \times [4, 7]$ , satisfying  $g(i, j, \{\gamma, \delta, \varepsilon\}) = 3$ , is less than  $|S|$  (at most 3 if  $|J| = 3$  and at most 4 if  $|J| = 2$ ). Thus, there is a 2-colour  $\zeta$  in  $S$  and  $\eta \in \{\gamma, \delta, \varepsilon\}$  such that the pair  $\{\zeta, \eta\}$  is not good.

If  $|(\mathbb{R}(1) \cup \mathbb{R}(2)) \cap C_{3+}| = 1$ , then  $c_3 = c_{3+} = 4$  and  $c_2(2) = 6$ .

Suppose first that both  $\gamma = (M)_{1,3}$  and  $\delta = (M)_{2,1}$  are 2-colours. The second copies of  $\gamma, \delta$  are then in  $[3, 6] \times [4, 7]$ , for if not,

$$\max(\text{exc}(\gamma), \text{exc}(\delta)) \geq 1 + |C \setminus A| = 4.$$

If the pair  $\{\gamma, \delta\}$  is column-based, then (w)  $\gamma = (M)_{3,4}$  and  $\delta = (M)_{4,4}$  so that  $(M)_{5,2} = \varepsilon = (M)_{6,4}$  and  $(M)_{6,2} = \zeta = (M)_{5,4}$  (all pairs  $\{\varepsilon, \gamma\}, \{\varepsilon, \delta\}, \{\zeta, \gamma\}, \{\zeta, \delta\}$  are good). For  $(i, j) \in [1, 2] \times [5, 7]$  then  $g(i, j, \{\gamma, \delta, \varepsilon, \zeta\}) = 1$ , and at least three positions in  $[1, 2] \times [5, 7]$  are occupied by a 2-colour that is in  $[3, 6] \times [5, 7]$ ; on the other hand, for  $(i, j) \in [3, 6] \times [5, 7]$  we have  $g(i, j, \{\gamma, \delta, \varepsilon, \zeta\}) \leq 2$ , a contradiction.

If the pair  $\{\gamma, \delta\}$  is row-based, then (w)  $\gamma = (M)_{3,4}$  and  $\delta = (M)_{3,5}$ . Then  $g(i, j, \{\gamma, \delta\}) = 0$  for  $(i, j) \in [4, 6] \times \{2\}$  and  $g(i, j, \{\gamma, \delta\}) \leq 1$  for  $(i, j) \in [4, 6] \times [4, 7]$ ; this leads to a contradiction, since at least one of colours in  $[4, 6] \times \{2\}$  has its second copy in  $[4, 6] \times [4, 7]$ .

So, one of  $\gamma, \delta$  is a 2-colour and the other a 3-colour, (w)  $\gamma \in C_2$  and  $\delta \in C_3$ . As above, the second copy of  $\gamma$  appears in  $[3, 6] \times [4, 7]$ , (w)  $\gamma = (M)_{3,4}$ . All colours of the set  $B = \{\varepsilon, \zeta, \eta, \vartheta\}$ , where  $\varepsilon = (M)_{3,2}$ ,  $\zeta = (M)_{4,2}$ ,  $\eta = (M)_{5,2}$  and  $\vartheta = (M)_{6,2}$ , are 2-colours. By Claim 3.3, the second copy of a colour  $\iota \in B$  does not appear in  $\mathbb{C}(1) \cup \mathbb{C}(3)$ , hence is in  $[3, 6] \times [4, 7]$  and, additionally, in  $\mathbb{R}(3) \cup \mathbb{C}(4)$ , provided that  $\iota \neq \varepsilon$  (the pair  $\{\iota, \gamma\}$  is good). Then  $|B \cap \mathbb{R}(3)| \leq 3$ , since otherwise  $\text{exc}(\varepsilon) \geq 3$ . So, by Claim 3.5, with  $B' = \{\zeta, \eta, \vartheta\}$  we have  $1 \leq |B' \cap \mathbb{C}(4)| \leq 2$ .

If  $|B' \cap \mathbb{C}(4)| = 2$ , then (w)  $\eta = (M)_{4,4}$ ,  $\zeta = (M)_{4,5}$  (here we use Claim 3.4) and  $\vartheta = (M)_{3,5}$ . For both  $l \in [6, 7]$  then  $g(2, l, B' \cup \{\gamma\}) = 0$ . This leads to a contradiction, since  $(M)_{2,6}, (M)_{2,7}$  are 2-colours, and  $g(i, j, B' \cup \{\gamma\}) = 4$  only if  $(i, j) = (6, 4)$ .

If  $|B' \cap \mathbb{C}(4)| = 1$ , then (w)  $\zeta = (M)_{5,4}, \eta = (M)_{3,5}$  and  $\vartheta = (M)_{3,6}$  so that  $g(2, 7, B' \cup \{\gamma\}) = 0$ . A contradiction follows from the fact that  $g(i, j, B' \cup \{\gamma\}) \leq 3$  for each  $(i, j)$ .

Now suppose that  $(C \setminus A) \cap C_2 \neq \emptyset$ , (w)  $\gamma = (M)_{3,1} = (M)_{4,3} \in (C \setminus A) \cap C_2$ . For  $m \in \{2, 3, 3+\}$ ,  $n \in [1, 2]$  let  $C_m^n$  be the set of colours in  $C_m$  having  $n$  copies in  $[5, 6] \times [4, 7]$  and  $c_m^n = |C_m^n|$ ; then

$$c_2^1 + c_{3+}^1 + 2c_{3+}^2 = 8. \quad (3.7)$$

Since  $g(i, j, \{\alpha, \beta, \gamma\}) = 0$  for  $(i, j) \in [5, 6] \times [4, 7]$  and  $g(i, j, \{\alpha, \beta, \gamma\}) = 3$  if and only if  $(i, j) \in S = \{(1, 3), (2, 1), (3, 2), (4, 2)\}$ , we have

$$c_2^1 + c_3^2 \leq 4. \quad (3.8)$$

Let us first show that  $c_2^1 \leq 3$ . Indeed, if  $c_2^1 = 4$ , then all pairs  $\{\delta, \varepsilon\} \in (C_2^1)$  are good only if there is  $i \in [5, 6]$  such that  $C_2^1 \subseteq \mathbb{R}(i)$ . This immediately implies  $c_{3+}^2 = 0$  and, by Claim 3.2.4 and (3.7),  $4 \geq c_{3+} \geq c_{3+}^1 = 4$ ,  $c_{3+} = 4$  and  $C_{3+} \subseteq \mathbb{R}(11 - i)$ . Then  $\delta = (M)_{11-i,2} \in C_2$ , the second copy of  $\delta$  is in  $[3, 4] \times [4, 7]$  (by Claim 3.3), hence at least one of pairs  $\{\delta, \varepsilon\}$  with  $\varepsilon \in C_2^1$  is not good, a contradiction.

Further, we prove that

$$c_{3+}^1 + c_{3+}^2 = c_{3+}, \quad (3.9)$$

which is equivalent to

$$C_{3+}^1 \cup C_{3+}^2 = C_{3+}. \quad (3.10)$$

If  $c_{4+} \geq 1$ , then Claim 3.2.8 yields  $c_{3+} \leq 3$ . Because of (3.7) we obtain

$$\begin{aligned} 2(c_{3+}^1 + c_{3+}^2) &= 8 + c_{3+}^1 - c_2^1, \\ c_{3+}^1 + c_{3+}^2 &= \frac{1}{2}(8 + c_{3+}^1 - c_2^1) \geq \frac{1}{2}(8 - 3) = \frac{5}{2}, \\ 3 &\geq c_{3+} \geq c_{3+}^1 + c_{3+}^2 \geq 3, \end{aligned}$$

and so (3.9) is true under the assumption  $c_{4+} \geq 1$  (implying  $c_{3+} = 3$ ).

On the other hand,  $c_{4+} = 0$  implies  $c_{3+} = c_3 = 4$  (Claim 3.2.7). In this case, using (3.7) and (3.8), we see that

$$8 = (c_2^1 + c_{3+}^2) + (c_{3+}^1 + c_{3+}^2) \leq 4 + c_{3+} = 8,$$

hence

$$c_{3+} = 4 = c_2^1 + c_{3+}^2 = c_{3+}^1 + c_{3+}^2,$$

and (3.9) holds again.

Note that now necessarily

$$|(C \setminus A) \cap C_2| = 1.$$

Indeed,  $|(C \setminus A) \cap C_2| = 3$  is impossible by Claim 3.5, since in such a case

$$c_2(1, 3) \geq |(C \setminus A) \cap C_2| = 3.$$

Moreover, by Claims 3.3 and 3.4, the assumption  $|(C \setminus A) \cap C_2| = 2$  would mean that for the unique colour  $\delta \in (C \setminus A) \cap C_{3+}$  there is  $i \in [5, 6]$  such that  $(M)_{i,1} = \delta = (M)_{11-i,3}$ ; however, according to (3.10),  $\delta$  has an exemplar in  $[5, 6] \times [4, 7]$ , and so the colouring  $f_M$  is not proper, a contradiction.

Thus

$$|(C \setminus A) \cap C_{3+}| = 2. \tag{3.11}$$

Because of a reasoning analogous to that above we see that each colour of  $(C \setminus A) \cap C_{3+}$  occupies exactly one position in  $[5, 6] \times \{1, 3\}$ ,

$$(C \setminus A) \cap C_{3+} = C_{3+}^1, \tag{3.12}$$

and then, using (3.10),

$$C_{3+} \subseteq \mathbb{R}(5) \cap \mathbb{R}(6). \tag{3.13}$$

Now if  $c_{4+} \geq 1$  (and, consequently,  $c_{3+} = 3$ , which we have seen already), then, by (3.9), (3.11) and (3.12),  $c_{3+}^1 = 2$  and  $c_{3+}^2 = 1$ ; since  $c_2^1 \leq 3$ , in such a case  $c_2^1 + c_{3+}^1 + 2c_{3+}^2 \leq 7$  in contradiction with (3.7).

Therefore, in the rest of the proof of Claim 3.7 we work with  $c_{4+} = 0$ ,  $c_{3+} = 4$ ,  $c_{3+}^1 = 2$ ,  $c_3^2 = c_{3+}^2 = 2$  and  $c_2^1 = 2$ , see (3.7), (3.9), (3.11), (3.12). Moreover, all positions in  $S$  are occupied by colours of  $C_2^1 \cup C_3^2$ . If  $\delta = (M)_{i,j} \in C_2^1$  with  $(i, j) \in \{(1, 3), (2, 1)\}$ , then, because of (3.11), (3.12) and (3.13),

$$\text{exc}(\delta) \geq 1 + |(C \setminus A) \cap C_{3+}| = 1 + c_{3+}^1 = 3,$$

a contradiction.

Thus  $\{(M)_{1,3}, (M)_{2,1}\} \subseteq C_{3+}^2$ , and for a 2-colour  $\varepsilon$  occupying a position in  $[5, 6] \times \{1, 3\}$  (there are two such colours), by (3.13) we have  $\text{exc}(\varepsilon) \geq c_{3+} - 1 = 3$ , a contradiction again.  $\square$

**Claim 3.8.** *If  $i, k \in [1, 6]$ ,  $i \neq k$ , then  $r_2(i, k) \leq 2$ .*

*Proof.* The assumption  $r_2(i, k) \geq 3$  would be in contradiction with Claim 3.6 or Claim 3.7.  $\square$

**Claim 3.9.** *No set  $\{\alpha, \beta, \gamma\} \subseteq C_2$  is of the type  $(3^1 2^1 1^1, 3^1 2^1 1^1)$ .*

*Proof.* Having in mind Claim 3.4 (or else Claim 3.7), assume (w)  $(M)_{1,1} = \alpha = (M)_{2,2}$ ,  $(M)_{1,2} = \beta = (M)_{2,1}$  and  $(M)_{1,3} = \gamma = (M)_{3,1}$ . Let  $A^1$  be the set of colours occupying a position in

$$(\{1\} \times [4, 7]) \cup ([4, 6] \times \{1\}) \cup \{(2, 3), (3, 2)\},$$

$A^n$  the set of colours in

$$(\{n\} \times [4, 7]) \cup ([4, 6] \times \{n\}) \quad \text{for } n = 2, 3,$$

$A_m^n = C_m \cap A^n$  and  $a_m^n = |A_m^n|$  for  $m \in \{2, 3+\}$ ,  $n \in [1, 3]$ . Then

$$|A^1| = a_2^1 + a_{3+}^1 = 9, \quad (3.14)$$

$$|A^2| = a_2^2 + a_{3+}^2 = 7, \quad (3.15)$$

$$A_1 \cap A_2 = \emptyset, \quad (3.16)$$

since otherwise  $\text{exc}(\alpha) \geq 3$ . Moreover,  $|A^3| \leq 7$  and  $A^2 \subseteq A^3$  (each pair  $\{\gamma, \eta\}$  with  $\eta \in A_2$  is good), hence, by (3.15),

$$A_2 = A_3. \quad (3.17)$$

Let us show that distinct colours  $\delta = (M)_{2,3}$ ,  $\varepsilon = (M)_{3,2}$  (a consequence of (3.14)) satisfy

$$\{\delta, \varepsilon\} \subseteq C_{3+}. \quad (3.18)$$

Indeed, if  $\eta = (M)_{i,5-i} \in \{\delta, \varepsilon\} \cap C_2$  for some  $i \in [2, 3]$  and (w)  $\eta = (M)_{4,4}$ , then all colours appearing in

$$(\{i\} \times [4, 7]) \cup ([4, 6] \times \{5-i\}) \cup \{(5-i, 4), (4, i)\}$$

belong to  $A^2 \setminus \{\eta\}$ , hence, by (3.15) and (3.17),

$$\text{exc}(\eta) \geq 9 - (7 - 1) = 3,$$

a contradiction.

Further, with  $\zeta = (M)_{3,3}$  we have

$$\zeta \in C_{3+} \cap A^1. \quad (3.19)$$

To see it realise first that, since the pair  $\{\zeta, \alpha\}$  is good and  $f_M$  is proper, we get  $\zeta \notin A^2 \cup \{\delta, \varepsilon\}$  and  $\zeta \in A^1$ . Moreover,  $\zeta \in C_{3+}$ , because the assumption  $\zeta \in \mathbb{R}_2(1)$  ( $\zeta \in \mathbb{C}_2(1)$ ) contradicts Claim 3.7 (Claim 3.4, respectively).

By (3.14), (3.15) and Claim 3.2.4, we have

$$a_2^1 + a_2^2 \geq (9 + 7) - c_{3+} \geq 12. \quad (3.20)$$

Further, by (3.14), (3.15) and (3.18)–(3.20),

$$5 \leq a_2^1 \leq 6, \quad (3.21)$$

$$6 \leq a_2^2 \leq 7. \quad (3.22)$$

Consider a colour  $\eta \in A_2^1 \cap \mathbb{R}(1)$  (from (3.21) we see that there are at least two such colours), (w)  $\eta = (M)_{1,j} = (M)_{4,l}$ . Then from

$$g(1, j, A_2^2) \leq g(1, j, A^2) = 2 \quad \text{and} \quad g(4, l, A_2^2) \leq g(4, l, A^2) \leq 4$$

it follows that  $a_2^2 \leq 2 + 4 = 6$ , hence, by (3.15), (3.21), (3.22),  $a_2^1 = a_2^2 = 6$  and  $a_{3+}^2 = 1$ .

Suppose first  $\zeta \in \mathbb{C}(1)$  so that all positions in  $\{1\} \times [4, 7]$  are occupied by colours of  $A_2^1$ . If  $\eta = (M)_{1,j}$ ,  $j \in [4, 7]$ , then proceeding similarly as above we find that both positions in  $[2, 3] \times \{j\}$  are occupied by colours of  $A_2^2$ . Thus  $\mathbb{R}_2(2, 3)$  consists of at least two colours of  $A_2^2$ . By Claim 3.8 we obtain  $r_2(2, 3) = 2$ , (w)  $(M)_{2,4} = \vartheta = (M)_{3,5}$  and  $(M)_{2,5} = \iota = (M)_{3,4}$ . Now  $\kappa = (M)_{1,6}$  satisfies  $\kappa \in \mathbb{C}(4) \cup \mathbb{C}(5)$  (the pair  $\{\kappa, \vartheta\}$  is good) and, analogously,  $\lambda = (M)_{1,7} \in \mathbb{C}(4) \cup \mathbb{C}(5)$ . By Claim 3.6, the copies of  $\kappa, \lambda$  that are in  $[4, 6] \times [4, 5]$  do not share a row, (w) one of them is in  $\mathbb{R}(4)$  and the other in  $\mathbb{R}(5)$ . Then, however, reasoning similarly as above again, all positions in  $[4, 5] \times [2, 3]$  are occupied by colours of  $A_2^2$ . Consequently, the unique colour of  $A_{3+}^2$  is  $(M)_{6,2} = (M)_{6,3}$ , and  $f_M$  is not proper.

If  $\zeta \in \mathbb{R}(1)$ , (w)  $\zeta = (M)_{1,7}$ , then all positions in

$$(\{1\} \times [4, 6]) \cup ([4, 6] \times \{1\})$$

are occupied by colours of  $A_2^1$ , which implies that all positions in

$$([2, 3] \times [4, 6]) \cup ([4, 6] \times [2, 3])$$

are occupied by colours of  $A_2^2$ . So, the unique colour of  $A_{3+}^2$  is  $(M)_{2,7} = (M)_{3,7}$ , a contradiction.  $\square$

#### 4. FINAL ANALYSIS

We are now ready to do the final analysis for proving Theorem 1.3. Suppose (w) that  $r_2(1) \geq r_2(i)$  for  $i \in [2, 6]$ , which, by Claim 3.2.3, implies

$$7 \geq r_2(1) \geq \left\lceil \frac{2c_2}{6} \right\rceil \geq \left\lceil \frac{30}{6} \right\rceil = 5. \tag{4.1}$$

Given  $r_2(1)$  we assume (w) that the sequence  $S = (r_2(1, i))_{i=2}^6$  is nonincreasing. Since  $r_2(1) \in [5, 7]$ , we have  $r_2(1, 2) \geq \lceil \frac{r_2(1)}{5} \rceil \geq 1$ ,  $r_2(1, 6) \leq \lfloor \frac{r_2(1)}{5} \rfloor = 1$ , and Claim 3.8 yields  $r_2(1, 2) \leq 2$ . We suppose (w) that

$$j \in [1, r_2(1)] \Rightarrow (M)_{1,j} \in C_2,$$

and, more precisely,

$$(M)_{1,1} = \alpha, (M)_{1,2} = \beta, (M)_{1,3} = \gamma, (M)_{1,4} = \delta, (M)_{1,5} = \varepsilon, \\ r_2(1) \geq 6 \Rightarrow (M)_{1,6} = \zeta, \quad r_2(1) = 7 \Rightarrow (M)_{1,7} = \eta.$$

Let  $p$  be the smallest integer in  $[2, 6]$  such that  $r_2(1, i) \leq 1$  for every  $i \in [p, 6]$ ;  $p$  is correctly defined since  $r_2(1, 6) \leq 1$ . Then

$$r_2(1, i) = 1 \Leftrightarrow i \in [p, r_2(1) + 3 - p],$$

and, counting the number of positions in  $[2, 6] \times [1, 7]$  occupied by colours of  $\mathbb{R}_2(1)$ , we obtain

$$2(p - 2) \leq r_2(1) \leq 2(p - 2) + (7 - p),$$

which yields

$$r_2(1) - 3 \leq p \leq \left\lfloor \frac{r_2(1) + 4}{2} \right\rfloor \leq 5. \quad (4.2)$$

Moreover, because of Claims 3.6 and 3.7 we have

$$\begin{aligned} p \geq 3 &\Rightarrow ((M)_{2,1} = \beta \wedge (M)_{2,2} = \alpha), \\ p \geq 4 &\Rightarrow ((M)_{3,3} = \delta \wedge (M)_{3,4} = \gamma), \\ p = 5 &\Rightarrow ((M)_{4,5} = \zeta \wedge (M)_{4,6} = \varepsilon). \end{aligned}$$

Let  $q_j = |\mathbb{R}_2(1) \cap \mathbb{C}(j)|$  for  $j \in [1, 7]$ . By Claim 3.9 we know that a 2-colour  $\mu$ , which occupies a position in  $\{1\} \times [2p - 3, r_2(1)]$ , satisfies  $\mu \notin \mathbb{C}(j)$  for every  $j \in [1, 2p - 4]$ , hence  $q_j = 2$  for any  $j \in [1, 2p - 4]$ , and

$$\sum_{j=2p-3}^7 q_j = 2[r_2(1) - (2p - 4)] = 2r_2(1) + 8 - 4p; \quad (4.3)$$

further,

$$j \in [2p - 3, 7] \Rightarrow q_j \leq 3, \quad (4.4)$$

since with  $q_j \geq 4$  and  $\mu \in \mathbb{R}_2(1) \cap \mathbb{C}(j)$  for some  $j \in [2p - 3, 7]$  we have  $\text{exc}(\mu) \geq q_j - 1 \geq 3$ . Notice also that

$$j \in [2p - 3, r_2(1)] \Rightarrow 1 \leq q_j \leq \min(3, r_2(1) + 4 - 2p), \quad (4.5)$$

because 2-colours occupying a position in  $[1, 6] \times \{j\}$  are distinct from  $2p - 4$  (2-)colours appearing in  $\{1\} \times [1, 2p - 4]$ . Moreover, we assume (w) that the sequence  $(q_j)_{j=2p-3}^{r_2(1)}$  is nonincreasing, and that, if  $(r_2(1), p) = (5, 2)$ , the sequence  $(q_1, q_2, q_3, q_4, q_5)$  is nonincreasing.

For every pair  $(r_2(1), p)$  obeying (4.1) and (4.2), we analyse the set  $\mathcal{Q}(r_2(1), p)$  of sequences  $(q_j)_{j=2p-3}^7$  satisfying all restrictions (4.3)–(4.5). More precisely, we show that the assumption that  $M$  is characterised by an arbitrary sequence  $Q \in \mathcal{Q}(r_2(1), p)$  leads to a contradiction, mostly because of  $\Sigma \geq 13$  (a contradiction to Claim 3.2.10) or the existence of a line of  $M$  containing at least five copies of 3+colours (by Claim 3.2.4 then the colouring  $f_M$  is not proper).

The structure of the sets  $\mathcal{Q}(r_2(1), p)$  with  $(r_2(1), p) \neq (5, 2)$  follows:

$$\begin{aligned} \mathcal{Q}(7, 5) &= \emptyset, \\ \mathcal{Q}(7, 4) &= \{(3, 2, 1), (2, 2, 2)\}, \\ \mathcal{Q}(6, 5) &= \{(0)\}, \\ \mathcal{Q}(6, 4) &= \{(2, 2, 0), (2, 1, 1), (1, 1, 2)\}, \\ \mathcal{Q}(6, 3) &= \{(3, 3, 1, 1, 0), (3, 2, 2, 1, 0), (3, 2, 1, 1, 1), (3, 1, 1, 1, 2), (2, 2, 2, 2, 0), \\ &\quad (2, 2, 2, 1, 1), (2, 2, 1, 1, 2), (2, 1, 1, 1, 3)\}, \\ \mathcal{Q}(5, 4) &= \{(1, 1, 0)\}, \\ \mathcal{Q}(5, 3) &= \{(3, 2, 1, 0, 0), (3, 1, 1, 1, 0), (2, 2, 2, 0, 0), (2, 2, 1, 1, 0), (2, 1, 1, 2, 0), \\ &\quad (2, 1, 1, 1, 1), (1, 1, 1, 3, 0), (1, 1, 1, 2, 1)\}. \end{aligned}$$

As we shall see later, it is not necessary to know the structure of  $\mathcal{Q}(5, 2)$  explicitly.

Our analysis is organised according to the following rules: All *visible* colours in  $M$  (those represented by Greek alphabet letters) are 2-colours, and both copies of a visible colour are present in  $M$ . Asterisk entries in  $M$  represent 3+colours. Some asterisk entries appear in  $M$  by definition, *e.g.*, each asterisk entry in the first row of  $M$  occupies a position in  $\{1\} \times [r_2(1) + 1, 7]$ . Another reason why an asterisk entry appears in  $M$  is that, if the corresponding position is occupied by a 2-colour  $\lambda$ , then putting another copy of  $\lambda$  to a *free* position (i.e., one that is not occupied by a visible colour) in any proper way (so that the resulting partial vertex colouring  $f'$  of  $K_6 \square K_7$  is proper) leads to a situation, in which no continuation of  $f'$  to a proper complete vertex colouring of  $K_6 \square K_7$  is possible, because at least one pair  $\{\lambda, \mu\}$ , where  $\mu$  is a visible colour, is not good.

To simplify the description of matrices appearing in our analysis we frequently use the notation “ $Q = \tilde{Q}$ , Figure  $xy$ ” or “ $Q = \tilde{Q}$ , (w) Figure  $xy$ ”, where  $\tilde{Q} \in \mathcal{Q}((r_2(1), p))$ . It means that the situation, in which  $M$  is characterised by the sequence  $\tilde{Q}$ , is analysed in Figure  $xy$  (and possibly Proposition 2.2 is involved).

If  $Q = (3, 2, 1)$ , then (w)  $(M)_{4,5} = \zeta$ ,  $(M)_{5,5} = \eta$  and  $(M)_{6,6} = \varepsilon$ , hence the set  $\{\varepsilon, \zeta\}$  is of the type  $(2^1 1^2, 2^2)$ , which contradicts Claim 3.4.

In the case  $Q = (2, 2, 2)$  we are (w) in the situation of Figure 4. If a 2-colour  $\mu$  occupies a position in  $\{k\} \times [2l - 1, 2l]$  for some  $k \in [4, 6]$  and  $l \in [1, 2]$ , then  $\mu = (M)_{4-l, h(k)}$ , where  $h(k) = \frac{1}{2}(3k^2 - 31k + 90)$ , and  $\nu \in C_{3+}$  for each colour  $\nu$  occupying a position in  $([4, 6] \setminus \{k\}) \times [5 - 2l, 6 - 2l]$  (with  $\nu \in C_2$  the pair  $\{\mu, \nu\}$  is not good). As a consequence, at least nine positions in  $[4, 6] \times [1, 4]$  are occupied by 3+colours. Besides that, if  $\mu = (M)_{i,j} \in C_2$  with  $(i, j) \in \{(2, 3), (2, 4), (3, 1), (3, 2)\}$ , the second copy of  $\mu$  must occupy one of the positions  $(4, 7), (5, 5), (6, 6)$ . Altogether we have

$$\Sigma \geq 3 + 9 + 1 = 13.$$

$Q = (0)$ , Figure 5: Because of

$$c_2(7) \geq 6 - c_{3+} \geq 2$$

we suppose (w)  $\eta = (M)_{2,7} \in C_2$  so that  $\eta$  is in  $\{(3, 5), (3, 6), (4, 3), (4, 4)\}$ .

Under the assumption  $\eta \in \mathbb{R}(3)$  we have (w)  $\eta = (3, 5)$ . Let  $C'_2$  be the set of 2-colours occupying a position in  $[5, 6] \times [1, 6]$ ; the inequality  $c_{3+} \leq 4$  implies  $|C'_2| \geq 6$ . If  $\mu \in C'_2$ , then from the fact that each pair  $\{\mu, \nu\}$  with  $\nu \in C''_2 = \{\alpha, \beta, \gamma, \delta, \eta\}$  is good one easily gets that the second copy of  $\mu$  occupies a position in  $[2, 3] \times [1, 6]$ . As  $g(4, 7, C''_2) = 0$  and  $g(i, j, C''_2) \leq 5$  provided that  $(i, j) \in [2, 3] \times [1, 6]$  is a dot position, we obtain  $\omega = (M)_{4,7} \in C_{3+}$  (notice that  $\omega \notin C'_2$ ), hence  $(M)_{3,7} \in C_2$ . Then  $\text{exc}(\eta) \geq 4$ , since we can uncolour vertices  $(i, j)$  with  $i \in [2, 3]$  and  $(M)_{i,j} \in C_{3+}$  (here we use  $r_{3+}(i) \geq 1$  and  $C_{3+} \subseteq \mathbb{C}(7)$ ), as well as the vertices  $(5, 5), (6, 5)$  (independently from the frequencies of  $(M)_{5,5}$  and  $(M)_{6,5}$ ) without affecting the completeness of the colour class  $\eta$  in the resulting partial colouring.

In the case  $\eta \in \mathbb{R}(4)$  we obtain a contradiction similarly as above.

The assumption  $Q = (2, 2, 0)$  means that (w)  $(M)_{4,5} = \zeta$  and  $(M)_{5,6} = \varepsilon$ , hence the type of the set  $\{\varepsilon, \zeta\}$  is  $(2^1 1^2, 2^2)$  in contradiction to Claim 3.4.

For  $Q = (2, 1, 1)$  the situation is (w) depicted on Figure 6. If  $\lambda = (M)_{6,j} \in C_2$ , where  $j \in [2k-1, 2k]$  with  $k \in [1, 2]$ , then  $\lambda = (M)_{4-k,5}$ . As a consequence,  $r_{3+}(6) = 4$ ,  $\eta = (M)_{6,5} \in C_2$ , and with  $\mu = (M)_{2,5}$ ,  $\nu = (M)_{3,5}$  we have  $\{\mu, \nu\} \subseteq \mathbb{R}(6)$ . Then, however,  $\text{exc}(\eta) \geq 3$  ( $\mu, \nu$  and at least one 3+colour contribute to the excess of  $\eta$ ).

$$\begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & \eta \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & \gamma & . & . & . \\ . & . & . & . & \zeta & * & . \\ . & . & . & . & . & \eta & * \\ . & . & . & . & * & . & \varepsilon \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & \gamma & . & . & . \\ . & . & . & . & \zeta & \varepsilon & . \\ . & . & . & . & . & * & . \\ . & . & . & . & . & . & * \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & \gamma & . & . & . \\ . & . & . & . & \zeta & * & . \\ . & . & . & . & . & * & \varepsilon \\ . & . & . & . & . & * & * \end{pmatrix}$$

Fig. 4.

Fig. 5.

Fig. 6.

$Q = (1, 1, 2)$ , Figure 7: Similarly as above we see that  $r_{3+}(6) = 4$ ,  $\eta = (M)_{6,7} \in C_2$ ,  $\{(M)_{2,7}, (M)_{3,7}\} \subseteq \mathbb{R}_2(6)$ , and so  $\text{exc}(\eta) \geq 4$ .

If  $Q = (3, 3, 1, 1, 0)$ , then (w)  $(M)_{3,3} = \delta$ ,  $(M)_{4,3} = \varepsilon$  and  $\gamma \in \{(M)_{5,4}, (M)_{6,4}\}$  so that the type of the set  $\{\gamma, \delta\}$  contradicts Claim 3.4.

If  $Q = (3, 2, 2, 1, 0)$ , then, having in mind Claim 3.4, we are (w) in the situation of Figure 8. Further,  $\eta = (M)_{2,7} \in C_2$  and  $\vartheta = (M)_{5,7} \in C_2$ , which implies  $\eta = (M)_{5,3}$  and  $\vartheta = (M)_{2,3}$ . Consequently, both positions in  $\{(3, 4), (4, 6)\}$  are occupied by 3+colours, and, provided that  $\mu = (M)_{i,j} \in C_2$  for some  $(i, j) \in [3, 6] \times [1, 2]$ , then  $(i, j) \in \{(5, 1), (5, 2)\}$  and  $\mu = (M)_{6,3}$ . Therefore,

$$\Sigma \geq 4 + 2 + 7 + r_{3+}(2) \geq 14.$$

$Q = (3, 2, 1, 1, 1)$ , (w) Figure 9 (using Claim 3.4 again): If a bullet position is occupied by a 2-colour  $\mu$ , then the second copy of  $\mu$  occupies a dot position. Therefore,

$$\Sigma \geq 4 + (19 - 6) = 17.$$

$$\begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & \gamma & . & . & . \\ . & . & . & . & * & . & \varepsilon \\ . & . & . & . & . & * & \zeta \\ . & . & . & . & * & * & . \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & . & . & . & * \\ . & . & \zeta & . & . & . & * \\ . & . & . & \varepsilon & . & . & . \\ . & . & . & . & \gamma & . & * \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & \bullet & \bullet & \bullet \\ \bullet & \bullet & \delta & . & * & \bullet & * \\ \bullet & \bullet & \varepsilon & . & * & \bullet & \bullet \\ \bullet & \bullet & . & \zeta & \bullet & \bullet & \bullet \\ \bullet & \bullet & . & . & \bullet & \bullet & \gamma \end{pmatrix}$$

Fig. 7.

Fig. 8.

Fig. 9.

$Q = (3, 1, 1, 1, 2)$ , (w) Figure 10: Analogously as in the case of Figure 9 we obtain

$$\Sigma \geq 5 + (19 - 6) = 18.$$

Under the assumption  $Q = (2, 2, 2, 2, 0)$  we have  $g(i, 7, \{\alpha, \beta, \gamma, \delta, \varepsilon, \zeta\}) = 1$  for any  $i \in [3, 6]$  and  $g(k, l, \{\alpha, \beta, \gamma, \delta, \varepsilon, \zeta\}) \leq 4$  for any position  $(k, l) \in [2, 6] \times [1, 6]$  occupied by a colour of  $C \setminus \{\alpha, \beta, \gamma, \delta, \varepsilon, \zeta\}$ , hence  $c_{3+}(7) \geq 5$ , a contradiction.

If  $Q = (2, 2, 2, 1, 1)$ , then because of Claim 3.4 (w) there are two possibilities for the structure of  $M$ , see Figures 11 and 12.

$$\begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & \bullet & \bullet & \bullet & . \\ \bullet & \bullet & \delta & * & \bullet & \bullet & . \\ \bullet & \bullet & \varepsilon & \bullet & * & \bullet & . \\ \bullet & \bullet & . & * & * & \bullet & \gamma \\ \bullet & \bullet & . & \bullet & \bullet & \bullet & \zeta \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & \bullet & . & \bullet & \bullet \\ . & . & . & \varepsilon & \bullet & \bullet & \bullet \\ . & . & \bullet & . & \gamma & \bullet & \bullet \\ . & . & . & . & . & * & \zeta \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & * & . & * & . \\ . & . & . & \varepsilon & . & . & * \\ . & . & . & . & \zeta & * & . \\ . & . & . & . & . & . & \gamma \end{pmatrix}$$

Fig. 10.

Fig. 11.

Fig. 12.

In the case of Figure 11 a bullet position can be occupied by a 2-colour only if the second copy of that colour appears in  $\{2\} \times [3, 5]$ . A position in  $\{(2, 6), (2, 7), (6, 1), (6, 2)\}$  is occupied by a 2-colour only if the second copy of that colour is in  $\{(3, 5), (4, 3), (5, 4)\}$ . Further, at most one of the two colours in  $\{i\} \times [1, 2]$  with  $i \in [3, 5]$  is a 2-colour (which is in  $\{6\} \times [3, 5]$ ). Therefore

$$\Sigma \geq 2 + (9 - 3) + (4 - 3) + 3 \cdot 1 + r_{3+}(2) \geq 13.$$

In the situation of Figure 12 let

$$k = \max(i \in \{2, 3, 5\} : (M)_{i,7} \in C_2) \quad \text{and} \quad \eta = (M)_{k,7}.$$

The assumption  $k = 2$  implies  $\eta \in \{(M)_{3,5}, (M)_{5,4}\}$ .

If  $\eta = (M)_{3,5}$ , see Figure 13, then

$$\Sigma \geq 13 + r_{3+}(2) \geq 14.$$

In the case  $\eta = (M)_{5,4}$  depicted in Figure 14 we have  $r_{3+}(3) \geq 5$ .

If  $k = 3$  (Figure 15), then  $\eta = (M)_{2,5}$ , and from  $r_{3+}(2) \geq 1$  it follows that  $\text{exc}(\alpha) \geq 3$ , a contradiction.

$$\begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & \eta \\ . & . & \delta & * & \eta & * & * \\ * & * & . & \varepsilon & . & . & * \\ * & * & . & . & \zeta & * & * \\ * & * & . & . & . & . & \gamma \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & \eta \\ * & * & \delta & * & . & * & * \\ . & . & . & \varepsilon & . & . & * \\ . & . & . & \eta & \zeta & * & * \\ * & * & . & . & . & . & \gamma \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & \eta & . & . \\ . & . & \delta & * & . & * & \eta \\ * & * & . & \varepsilon & . & . & * \\ * & * & . & . & \zeta & * & * \\ . & . & * & . & . & . & \gamma \end{pmatrix}$$

Fig. 13.

Fig. 14.

Fig. 15.

Figure 16 corresponds to  $k = 5$ , requiring  $\eta = (M)_{2,4}$ . If  $\vartheta \in C_2$  is in  $\{4\} \times [1, 2]$ , then  $\vartheta = (M)_{5,3}$ , and, if  $\iota \in C_2$  is in  $\{6\} \times [1, 2]$ , then  $\iota = (M)_{5,4}$ . So,  $c_{3+}(1) + c_{3+}(2) \geq 4$ , which implies  $\text{exc}(\alpha) \geq 3$ .

In the case  $Q = (2, 2, 1, 1, 2)$ , using Claim 3.4, (w) the description by Figure 17 applies. Claim 3.9 implies that a 2-colour occupying a position in  $[3, 6] \times [1, 2]$  does not appear in  $\{2\} \times [3, 7]$ . Therefore, for any  $i \in [3, 6]$  at most one of the positions in  $\{i\} \times [1, 2]$  is occupied by a 2-colour; as a consequence of  $c_{3+} \leq 4$  and  $r_{3+}(2) \geq 1$  then  $\text{exc}(\alpha) \geq 3$ .

If  $Q = (2, 1, 1, 1, 3)$ , then (w) we have the situation of Figure 18 with

$$\Sigma \geq 5 + (19 - 6) = 18$$

(reasoning as in Figure 9).

$$\begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & \eta & . & . & . \\ * & * & \delta & * & . & * & . \\ . & . & . & \varepsilon & . & . & * \\ . & . & . & . & \zeta & * & \eta \\ . & . & . & . & . & * & \gamma \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & . & . & * & . \\ . & . & . & \varepsilon & * & . & . \\ . & . & * & . & * & . & \gamma \\ . & . & . & . & . & * & \zeta \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & \zeta & * \\ \beta & \alpha & . & \bullet & \bullet & \bullet & . \\ \bullet & \bullet & \delta & \bullet & \bullet & \bullet & . \\ \bullet & \bullet & . & \bullet & * & * & \gamma \\ \bullet & \bullet & . & \bullet & * & \bullet & \varepsilon \\ \bullet & \bullet & . & \bullet & \bullet & * & \zeta \end{pmatrix}$$

Fig. 16.

Fig. 17.

Fig. 18.

The assumption  $r_2(1) = 5$  implies  $r_2(i) = 5$  and  $r_{3+}(i) = 2$  for each  $i \in [1, 6]$ , hence

$$c_2 = \frac{1}{2} \sum_{i=1}^6 r_2(i) = 15,$$

and, by Claims 3.2.6, 3.2.7,  $c_{3+} = c_3 = 4$ .

If  $Q = (1, 1, 0)$ , then we are (w) in the situation of Figure 19. Each colour of  $C_2(7)$  has its second copy in  $[2, 4] \times [1, 6]$ , hence at least

$$5 + 2c_2(7) + \sum_{i=2}^4 r_{3+}(i) = 11 + 2c_2(7) \geq 15$$

positions in  $[2, 4] \times [1, 7]$  are occupied by colours of  $\{\alpha, \beta, \gamma, \delta, \varepsilon\} \cup C_2(7) \cup C_{3+}$ . Since a colour in  $\mathbb{R}_2(5) \cup \mathbb{R}_2(6)$  has its second copy in  $[2, 4] \times [1, 6]$ , we have

$$r_2(5) + r_2(6) \leq 18 - (15 - 3) = 6$$

and

$$4 = r_{3+}(5) + r_{3+}(6) = 14 - [r_2(5) + r_2(6)] \geq 8,$$

a contradiction.

If  $Q = (3, 2, 1, 1, 0, 0)$ , then the set  $\{\gamma, \delta\} \subseteq C_2$  is of the type  $(2^1 1^2, 2^2)$ , which contradicts Claim 3.4.

$Q = (3, 1, 1, 1, 0)$ , (w) Figure 20: A bullet position can be occupied by a colour  $\mu \in C_2$  only if  $\mu = (M)_{2,3}$ . That is why  $r_{3+}(6) \geq 3$ , a contradiction.

$Q = (2, 2, 2, 0, 0)$ , (w) Figure 21: If a bullet position is occupied by a colour  $\mu \in C_2$ , then  $\mu \in \{(M)_{3,5}, (M)_{4,3}, (M)_{5,4}\}$ . One can easily see that if  $i \in [3, 5]$ , then at most one of colours in  $\{i\} \times [6, 7]$  is a 2-colour. Therefore, if  $(M)_{2,j} \in C_{3+}$  for both  $j = 6, 7$ , then

$$c_{3+}(6) + c_{3+}(7) \geq 3 \cdot 2 + 3 \cdot 1 = 9,$$

and there is  $j \in [6, 7]$  with  $c_{3+}(j) \geq 5$ , a contradiction. Thus, there is  $j \in [6, 7]$  with  $(M)_{2,j} \in C_2$ . Then, however, since  $(M)_{6,1}, (M)_{6,2} \in C_2$  (a consequence of  $r_{3+}(6) = 2$ ), the pair  $\{(M)_{2,j}, (M)_{6,l}\}$  is not good for  $l = 1, 2$ .

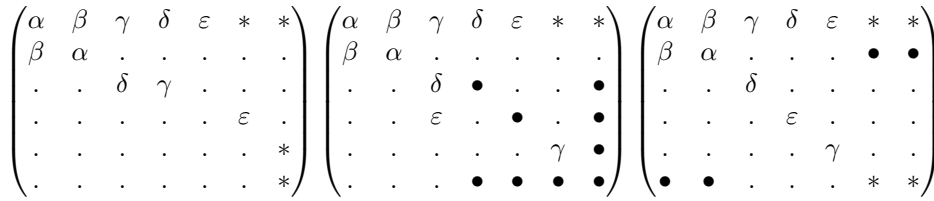


Fig. 19.

Fig. 20.

Fig. 21.

If  $Q = (2, 2, 1, 1, 0)$ , then (w), by Claim 3.4, the situation is depicted in Figure 22. If a 2-colour  $\mu$  is in  $\{(2, 7), (6, 1), (6, 2)\}$ , then  $\mu \in \{(M)_{4,3}, (M)_{5,4}\}$ , and if a 2-colour  $\nu$  is in  $\{(5, 7), (6, 6)\}$ , then  $\nu = (M)_{2,4}$ . From  $r_{3+}(6) = 2$  it follows that there is a 2-colour  $\zeta$  in  $\{6\} \times [1, 2]$ ; as a consequence then  $\omega = (M)_{2,7} \in C_{3+}$  (with  $\omega \in C_2$  the pair  $\{\omega, \zeta\}$  is not good),  $\eta = (M)_{5,7} = (M)_{2,4} \in C_2$ ,  $(M)_{6,6} \in C_{3+}$ , and each colour, occupying a position in  $\{6\} \times [1, 2]$ , is a 2-colour. In such a case, however, with  $\vartheta = (M)_{4,3} \in \{(M)_{6,1}, (M)_{6,2}\}$  the pair  $\{\vartheta, \eta\}$  is not good.

$Q = (2, 1, 1, 2, 0)$ , (w) Figure 23: If  $\zeta \in \{(M)_{3,7}, (M)_{6,4}\} \cap C_2$ , then  $\zeta = (M)_{2,6}$ , and if  $\eta \in \{(M)_{5,7}, (M)_{6,5}\} \cap C_2$ , then  $\eta = (M)_{2,3}$ . Therefore, at least two positions in  $\{(3, 7), (5, 7), (6, 4), (6, 5)\}$  are occupied by 3+colours. Since  $c_{3+}(7) \leq 4$ , at most one position in  $\{(3, 7), (5, 7)\}$  and at least one position in  $\{(6, 4), (6, 5)\}$  is occupied by a 3+colour. Further, from  $r_{3+}(6) = 2$  it follows that exactly one position in  $\{(6, 4), (6, 5)\}$  and in  $\{(3, 7), (5, 7)\}$  as well is occupied by a 3+colour. Consequently, by Claim 3.6,  $(M)_{2,7}, (M)_{6,1}$  and  $(M)_{6,2}$  are three distinct 2-colours; this, however, leads to a contradiction, because if  $\vartheta \in \{(M)_{2,7}, (M)_{6,1}, (M)_{6,2}\} \cap C_2$ , then necessarily  $\vartheta \in \{(M)_{3,6}, (M)_{5,3}\}$ .

If  $Q = (1, 1, 1, 3, 0)$ , then we have (w)  $\{\gamma, \delta, \epsilon\} \cap \mathbb{R}(6) = \emptyset$ . If a position in  $\{6\} \times ([1, 5] \cup \{7\})$  is occupied by a 2-colour  $\zeta$ , then  $\zeta = (M)_{2,6}$ , which yields  $r_{3+}(6) \geq 5$ , a contradiction.

If  $Q = (1, 1, 1, 2, 1)$ , then the situation is (w) described by Figure 24. If a 2-colour  $\zeta$  is in  $\{6\} \times [1, 2]$ , then  $\zeta = (M)_{5,6}$ , hence  $r_{3+}(6) \geq 3$ .

$$\begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & * & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & . & . & . & * \\ . & . & . & \varepsilon & . & . & . \\ . & . & . & . & . & \gamma & . \\ . & . & . & . & . & . & * \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & * & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & \delta & . & . & . & . \\ . & . & . & . & . & \gamma & * \\ . & . & . & . & . & \varepsilon & . \\ . & . & . & . & . & . & * \end{pmatrix} \begin{pmatrix} \alpha & \beta & \gamma & \delta & \varepsilon & * & * \\ \beta & \alpha & . & . & . & . & . \\ . & . & * & . & . & \gamma & . \\ . & . & . & * & . & \delta & . \\ . & . & . & . & . & . & \varepsilon \\ . & . & * & * & . & . & . \end{pmatrix}$$

Fig. 22.

Fig. 23.

Fig. 24.

If  $Q \in \mathcal{Q}(5, 2)$ , then we have  $\sum_{j=1}^7 q_j = 10$ . Let  $J = \{j \in [1, 7] : q_j \geq 2\}$ . In the case  $|J| \leq 3$  realise that any colour  $\zeta \in C_2 \setminus \{\alpha, \beta, \gamma, \delta, \varepsilon\}$  requires existence of a *sufficient* pair  $(i, j) \in [2, 6] \times [1, 7]$ , i.e., one satisfying  $g(i, j, \{\alpha, \beta, \gamma, \delta, \varepsilon\}) \geq 3$ . If  $(i, j)$  is a sufficient pair, then necessarily  $j \in J$ . Moreover, given  $j \in J$ , the number of sufficient pairs  $(i, j)$  is at most three. This is certainly true if  $q_j = 3$ . On the other hand, if  $q_j = 2$  and  $(M)_{k,l} = (M)_{1,j}$  with  $k \neq 1$ , then, by Claim 3.7 and the fact that  $p = 2$ ,  $(M)_{k,j} \notin \{\alpha, \beta, \gamma, \delta, \varepsilon\}$ , which means that  $g(k, j, \{\alpha, \beta, \gamma, \delta, \varepsilon\}) = 2$ , and there are at most three  $i$ 's such that the pair  $(i, j)$  is sufficient. Therefore,  $c_2 \leq 5 + 3 \cdot 3 = 14$ , which contradicts Claim 3.2.3.

So, we have  $|J| \geq 4$ . If  $q_1 = 3$ , then

$$10 = \sum_{j=1}^7 q_j \geq 3 + 3 \cdot 2 + 1 \cdot 1 = 10,$$

hence  $q_2 = q_3 = q_4 = 2$ ,  $q_5 = 1$  and  $q_6 = q_7 = 0$ . If  $\zeta = (M)_{i,j} \in C_2$  with  $(i, j) \in [2, 6] \times [6, 7]$ , then, since  $g(i, j, \{\alpha, \beta, \gamma, \delta, \varepsilon\}) = 1$ , we have  $\zeta \in C_2(1) \setminus \{\alpha, \beta, \gamma, \delta, \varepsilon\}$ . Thus

$$c_{3+}(6) + c_{3+}(7) \geq 2 + (10 - 3) = 9,$$

and there is  $j \in [6, 7]$  with  $c_{3+}(j) \geq 5$ , a contradiction.

If  $q_1 \leq 2$ , then

$$g(i, j, \{\alpha, \beta, \gamma, \delta, \varepsilon\}) \leq q_j + 1 \leq q_1 + 1 \leq 3$$

for every  $(i, j) \in [2, 6] \times [1, 5]$ , hence  $g(k, l, \{\alpha, \beta, \gamma, \delta, \varepsilon\}) \geq 2$  whenever  $(k, l) \in [2, 6] \times [6, 7]$  and  $(M)_{k,l} \in C_2$ , which implies  $q_l \geq 1$ ,  $l = 6, 7$ . As a consequence, then

$$10 = \sum_{j=1}^7 q_j \geq 2|J| + (7 - |J|) = |J| + 7 \geq 11,$$

a final contradiction proving Theorem 1.3.

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